### NS Ref: National Statement Obligation

<table>
<thead>
<tr>
<th>BETA Position</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>BETA has a documented process for determining the risk level of research and appropriate mechanisms for review and approval.</td>
<td>BETA should determine the risk level of research and appropriate mechanisms for review and approval.</td>
</tr>
<tr>
<td>BETA has an internal Committee of Peers process for Low Risk research. This Committee has a mandated purpose to review and approve Low Risk projects.</td>
<td>BETA should establish an internal Committee of Peers for Low Risk research.</td>
</tr>
</tbody>
</table>

### 5.1 Processes for ethical review

#### 5.1.1 Research Management Policy

- BETA should determine the organisational capacity to meet this requirement. The alternative is to reallocate other capacity or additional resources as required.

#### 5.1.2 Low Risk

- BETA has an internal Committee of Peers process for Low Risk research. This Committee has a mandated purpose to review and approve Low Risk projects.

#### 5.1.3 Negligible Risk

- BETA should determine the organisational capacity to meet this requirement. The alternative is to reallocate other capacity or additional resources as required.

#### 5.1.4 Other Risk Levels

- BETA should determine the organisational capacity to meet this requirement. The alternative is to reallocate other capacity or additional resources as required.

### 5.2 Training for appropriate reviewers

- BETA should provide all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.3 Oversight and review of ethical review procedures

- BETA should review and monitor its ethical review processes to ensure they are effective and compliant with national requirements and institutional processes. |

### 5.4 Legal protection for those involved in ethical review of research

- BETA should provide all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.5 Ethical review requirements

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.6 Handling complaints

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.7 Reporting responsibilities

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.8 Research Management Policy

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.9 Research Governance

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |

### 5.10 Induction and training of new staff

- BETA should ensure all appropriate awareness training for all reviewers. This training should include an overview of the ethical review process. |
The Research Management Policy should articulate an escalation process and pathway to be used if it is determined that a full HREC review is required.

Research that can be exempted from review:

- No research can be exempted from ethical review.

Members should be appointed to an HREC using open and transparent processes. Institutions should consider reviewing their membership requirements at regular intervals to ensure that Bellberry HREC membership is tailored on a meeting-by-meeting basis to ensure that the most appropriate members are available.

Members should undertake:

- Regular attendance at meetings (as required by the National Statement);
- Professional development program (as required by the National Statement);
- Regular and appropriate induction, which could include mentoring by a current HREC member.

Use of an NHMRC-Certified HREC, whether a Bellberry HREC or other.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

The BETA framework involves alternative review processes utilising Project Managers, a Committee of Project Managers, internal HREC review and an independent HREC. Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

Bellberry would strongly advise against BETA establishing an internal HREC for a number of reasons. Most importantly, an HREC is a significant undertaking in terms of governance and management. The National Statement recommends that institutions engage in the appropriate level of consultation with external HRECs and consider whether or not an HREC is the appropriate mechanism to ensure that research meets the requirements of this National Statement and is ethically acceptable.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

The BETA framework involves alternative review processes utilising Project Managers, a Committee of Project Managers, internal HREC review and an independent HREC. Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

Bellberry would strongly advise against BETA establishing an internal HREC for a number of reasons. Most importantly, an HREC is a significant undertaking in terms of governance and management. The National Statement recommends that institutions engage in the appropriate level of consultation with external HRECs and consider whether or not an HREC is the appropriate mechanism to ensure that research meets the requirements of this National Statement and is ethically acceptable.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

The BETA framework involves alternative review processes utilising Project Managers, a Committee of Project Managers, internal HREC review and an independent HREC. Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

Bellberry would strongly advise against BETA establishing an internal HREC for a number of reasons. Most importantly, an HREC is a significant undertaking in terms of governance and management. The National Statement recommends that institutions engage in the appropriate level of consultation with external HRECs and consider whether or not an HREC is the appropriate mechanism to ensure that research meets the requirements of this National Statement and is ethically acceptable.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

The BETA framework involves alternative review processes utilising Project Managers, a Committee of Project Managers, internal HREC review and an independent HREC. Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

Bellberry would strongly advise against BETA establishing an internal HREC for a number of reasons. Most importantly, an HREC is a significant undertaking in terms of governance and management. The National Statement recommends that institutions engage in the appropriate level of consultation with external HRECs and consider whether or not an HREC is the appropriate mechanism to ensure that research meets the requirements of this National Statement and is ethically acceptable.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

The BETA framework involves alternative review processes utilising Project Managers, a Committee of Project Managers, internal HREC review and an independent HREC. Use of an NHMRC-Certified Committee ensures compliance with the National Statement.

Bellberry would strongly advise against BETA establishing an internal HREC for a number of reasons. Most importantly, an HREC is a significant undertaking in terms of governance and management. The National Statement recommends that institutions engage in the appropriate level of consultation with external HRECs and consider whether or not an HREC is the appropriate mechanism to ensure that research meets the requirements of this National Statement and is ethically acceptable.

Appendix 1: Review of BETA Research Management vs National Statement Requirements

Not relevant as external HRECs have been nominated for use.

Use of an NHMRC-Certified Committee ensures compliance with the National Statement.